



# **Annual Performance Report for the year 2020**

**Department of Fisheries & Aquatic Resources**

**Maligawatta, Colombo 10**

**Expenditure Head No: 290-01-01-0-1409**

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## **01. Institutional Profile**

### **1.1. Introduction**

The main role of the Department of Fisheries and Aquatic Resources is to implement the Fisheries and Aquatic Resources Act No. 02 of 1996 and the Fisheries (Foreign Fishing Vessels) Act No. 59 of 1979 and the regulations made thereunder and to introduce amendments and new regulations to be made in accordance with current developments and international maritime laws and conventions.

Also, introduction of new technology for the development of the fisheries industry, improvement to the infrastructure required to minimize post-harvest losses, improvements related to the protection of the condition and quality of fish products for export and domestic consumption, and improvement in social and economic status of the fisher families and provision of incentives for the fisher women have also become a top priority.

### **1.2. Vision, mission and purpose of the organization**

#### **1.2.1. Vision of the Department**

Optimal contribution to the national economy by strengthening socio-economic status of the fishing community while maintaining the sustainability of fisheries and aquatic resources.

#### **1.2.2. Mission of the Department**

Management of fisheries and aquatic resources by utilizing new technological methods in keeping with in accordance with new technologies in accordance with national and international maritime laws and conventions to make an effective contribution to the Sri Lankan economy through the sustainable development of the fisheries industry.

#### **1.2.3. Purpose**

- Management, regularization, conservation and sustainable development of fisheries in accordance with local and international maritime laws and conventions.
- Encourage local and foreign investment in the fisheries sector.
- Introduction of new technology for exploiting fishery resources in local and international seas.
- Improving socio-economic status of the fisher community.

- Ensuring the quality and safety of exporting fish products in accordance with international standards.
- Minimizing post-harvest losses and improving the quality of local fish products.

### **1.3. Main Functions**

- Formulation, imposition, updating and implementation of Fisheries Operations Regulations,
- Formulation, imposition, updating and implementation of Fisheries Management Regulations
- Formulation, imposition, updating and implementation of Regulations, Aquaculture Management Regulations.
- Registration of fishing vessels and issuance of fishing operation licences
- Issue of permits for the export of live fish.
- Issuing fish landing permits for foreign fishing vessels.
- Improving awareness on fisheries management among the fisher families
- Coordination of institutional credit facilities for obtaining capital goods
- Registration, regulation and supervision of boat building companies
- Registration, regulation and supervision of fisheries input suppliers
- Providing guidance to bring the fisheries cooperative societies to an efficient level
- Coordination and monitoring of regional fisheries organizations established under the National Fisheries Federation
- Collection of data related to the fisheries industry.
- Repatriation of fishermen and fishing boats arrested by foreign countries
- Repatriation of fishermen and fishing boats stranded at sea
- Quality inspection of exporting fish
- Registration of fish processing establishments and issue of health certificates for fish export.
- Upgrading the standard of local fish sale outlets
- Installation of VMS devices on multiday fishing boats engaged in High Seas fishing operations
- Providing radio communication facilities between the fishing vessels and the land
- Obtaining reports on fishing boats which are involved in boarder crossings
- Providing daily weather reports and weather advisories on a daily basis

- Taking action to provide licenses and call signs for communication purposes of fishing boats.
- Substantiation of information for insurance in case of accidents encountered by fishermen and fishing crafts.
- Taking action to save fishing boats adrift in international maritime boundaries.
- Conducting training programmes for the vocational education of the members of fisher families.
- Fisheries social security through the implementation of fishermen's insurance and pension schemes.
- Implementation of lagoon development programmes and infrastructure development in fishery villages.
- Implementation of alternative income generating programmes for fisher women.

#### **1.4. Organization Structure**

The Department of Fisheries and Aquatic Resources, which functions under the Ministry of Fisheries and Aquatic Resources Development, is governed by a Director General. An Additional Director General has also been appointed to carry out these functions into a more effective and efficient manner. The activities of the Head Office are divided into 09 divisions considering the ease of planning, implementation and monitoring of the functions of the department.

That is,

1. Fisheries Management Division
2. Fisheries Development Division
3. Fisheries Product Quality Control Division
4. Fisheries Operations Division
5. Investigation and Training Division
6. Information Technology Division
7. Administration Division
8. Accounts Division
9. Internal Audit Division

These divisions are headed by eight Directors and the Chief Internal Auditor.

There are 15 District Fisheries Offices covering the coastal districts of the Island and the activities of each district are carried out under the direction of the Deputy / Assistant Director of Fisheries of the District.

There are 148 Fisheries Inspection Divisions in the districts covering the coastal areas.

A Fisheries Inspector's Office has been established in each of these Fisheries Inspection Divisions. Fisheries Inspector, Fisheries Social Development Assistant, Fisheries Resource Management Assistant, Development Officer and Fisheries Officer carry out all fisheries activities in these Fisheries Inspection Divisions.

Also, 20 Regional Monitoring, Control and Surveillance Centers have been set up in the vicinity of fishing harbors and radio officers are deployed day and night at these centers. These MCS Centers have maintained a 24-hour radio communication link between fishing vessels and land vessels engaged in the deep sea fishing industry. It also provides weather forecasts and advisories to vessels on a daily basis, as well as boat owners' messages and fishermen's messages. Further, all necessary arrangements will be made for distress messages received from fishing vessels from that time until the completion of the work. All these activities are coordinated by the Monitoring, Control and Surveillance Centre in the Head Office, Colombo.

In addition, offices have been set up at 15 fishery harbours located in 07 districts. The officials of the Department attached to those places carry out activities such as inspection of vessels departing the fishery harbours day and night, registration of and permitting the departure, obtaining log entries pertaining to the trip of boats arriving at the harbour, checking fish production accordingly, preparation of reports for the fish ready for export and providing them to the buyers, taking statements in case illegal, unreported and unregulated fishing has occurred, delivering the log entries and statements taken to the Head Office via Fax or E-mail and preparation of alternative monitoring reports..etc.

## **Chapter-02- Progress and the Future Outlook**

### **2.1. Special Achievements,**

1. 557 cases have been filed in the year 2020 for non-compliance with the provisions of the Fisheries and Aquatic Resources Act No. 2 of 1996.
2. In compliance with existing laws relating to vessel monitoring equipment in the year 2020
 

Departure cancellation.	24
Referral for Legal Action	40
3. Progress in violation of Section 14(a) and 14(e) from January to December, 2020

Offence committed	No.of investigations commenced	No.of investigations completed	No.of investigations pending	Prosecutions in the court	No.of cases referred to the Administration board /mediation	Payment of fines
Engaging in fishing operations in another country	07	07	-	07	04	1,300,000/=
Carrying out fishing operations without licence	02	02	-	02	02	200,000/=
Keeping lobsters in possession during the prohibited period	01		-	01	-	25,000/=
Keeping shark fins in possession	01		-	01	01	300,000/=

4. 9 Regulation made under the fisheries and Aquatic Resources Act have been presented to Parliament for approval
  - ❖ Conservation of Fish and Aquatic Resources within Sri Lanka waters Regulations.
  - ❖ Prohibition of the Use of Spears in Fishing Operations Regulations No.1of 2017.
  - ❖ Prohibition of Catching Fish Species Thambuwa (Cephalophoti Sonnerati) Regulations.
  - ❖ Beach Seine fishing (Amendment) Regulations 1984
  - ❖ Minimum Standard for the Citizen of Sri Lanka engaged in Fishing Operations in Boats with Foreign Flags (Amendment) Regulations
  - ❖ Fishing Operation (Amendment) Regulation 1996
  - ❖ Fish and Fishery Products Export, Import and Reexport Management Regulations-2017

5. A draft bill to amend the Fisheries and Aquatic Resource Act has been referred to the Legal Draftsman's Department for approval.
  - Amendment to the Fisheries and Aquatic Resources Act No.2 of 1996 (obstruction to the duties of authorized officers).
6. Five (05) regulations made under the Fisheries and Aquatic Resources Act have been referred to the Legal Draftsman's Department for approval.
  - ❖ Recreational Fishery Management Regulations
  - ❖ Formalization of fish handling and distribution of Fish Regulations
  - ❖ Fisheries (Imposition of fees) Regulations.
  - ❖ Fish and Fishery Products Export, Import and Reexport Management Regulations-2017
  - ❖ Beach Seine (Kalametiya Fishery harbour) (Amendment) Regulations-1984
7. Three (03) bills to amend the Fisheries and Aquatic Resources Act have been scheduled to be submitted to the Cabinet of Ministers for approval.
  - Amendment to the Fisheries and Aquatic Resources Act No. 2 of 1996 (To regulate illegal activities)
  - Amendment to the Fisheries and Aquatic Resources Act No. 2 of 1996 (To establish the Fisheries Development Fund)
  - Amendment to the Fisheries and Aquatic Resources Act No. 2 of 1996 (To mark fishing grounds)
8. One (01) Regulations made under the Fisheries and Aquatic Resources Act No. 2 of 1996 is to be presented to Parliament.
  - Issuance of certificates of competence for skippers (Local Fishing Boats) Regulations 2019
9. 03 Regulations have been drafted under the Fisheries and Aquatic Resources Act
  - High Seas Fishing Operations (Amendment) Regulations No.1 of 2014
  - Fishing Boats Arrival and Departure Regulations 2021
  - The Registration of Fishing Boats (Amendment) Regulations ,1980
10. Issue of permits for export-for the export of sea food
 

• Export of ornamental fish.	44
• Export of lobster.	96
• Export of chank.	49
• Export of beach de mer.	141
• Export of marine organisms cultured on artificial substrates.	03
• Health certificates for seafood exports	34,204
• Fish catch certificates	21,045
• Registration of fish processing establishments	44
• Registration of Fish Packaging Centers	13

## 11. Progress of Information Technology

- Printing of about 6000 Fisheries Identity Cards by using only 100% of the resources of the Department.
- The department's website won the first place in the Sinhala website category and a special award in the Government website category in the Bestweb.lk competition held to select the best websites in Sri Lanka.
- Duties have been commenced using Network Software (MSDFAR) which has so far successfully completed 40650 registration ( Fishermen's Registration), 160 Yard Registration, 1535 Boat Design Approvals, 565 Boat Number Registrations , 450 Boat Registration , 1365 Boat Renewal, 3740 Operation License, 17 insertion of Scientific Data.
- Introduction of open source operating systems to about 40 new computers in the department

## 12. Release and repatriation of fishermen and vessels arrested in foreign countries

Number of fishermen arrested	Number of fishermen released	Number of boats arrested	Number of vessels released
105	105	18	10

## 13. Rescue of fishing vessels stranded in local waters, High Seas and waters of foreign countries

Number of fishermen recued	Number of vessels recued	Number of abandoned vessels
645	125	10

## 14. Verification of information on fishing vessels operation in the high seas

1	Number of completed offshore fishing operations	6162
2	Number of log copies received by the unit for offshore operations	6162
3	Number of verification report issued regarding operations	2383
4	Number of log copies entered the log database	43291

## 15. Process of providing services by means of Information technology.

1	Registration of fishermen	7163
2	Issue of high seas fishing operations licenses through online	1036
3	Number of trips covered through e-log system	29657
4	Number of boats photograph reports maintained for the requirement of IOTC	2008

## 16. Establishment of Rural Fisher Women's Organizations.

It has been proposed to establish fisheries women's societies in order to empower the Fisher women with the objective of uplifting socio-economic status of fisher families, thereby generating self-employment opportunities and starting new self-employment projects.



Accordingly, 378 fisher women's societies comprising of 11748 members pertaining to 15 districts have been identified by now.

#### 17. Making facilities to minimize fish post-harvest losses.

Provision of small fishing boats and heat insulator fiberglass fish packaging boxes for harbours was implemented under the development proposal 2020 with the objective of making facilities to minimize fish post-harvest losses, for which provisions amounted to Rs. 16.2 million. Accordingly, having made the relevant purchases under the government open procurement procedure, such items have been provided for the beneficiaries through the assistant director's offices.

#### 18. Increasing the lagoon fish stock

4 million of shrimp fingerlings have been stocked in Thondaman Aru and Uppu Aru lagoons in Jaffna district at a cost of Rs. 3.2 millions of allocations. 150,000 fish fingerlings have been stocked in Kalmunai Arugambe Lagoon.

#### 19. Sea bass culture

126,000 sea bass fingerlings have been stocked in Negombo, Batticaloa, Puttalam lagoons. Tenders have been offered to the National Aquaculture Development Authority of Sri Lanka for the purchase of 53,000 sea bass fingerlings.

#### 20. Lagoon Development

- Demarcation of lagoon boundaries.

Progress in demarcating lagoon boundaries is that of Rs.4.46 million. The Department of Civil Force Services has fixed 4248 demarcation posts in Arugambe, Lankapatuna, Chilaw, Mundalama, Rakew and Kokilai lagoons.

- Cleaning and of lagoons

Allocation amounting to Rs. 4,220,000.00 have been provided to the District Secretary, Jaffna for the removal of the parts of the old bridge built over the Thondaman Aru Lagoon (in Jaffna district) while another sum of Rs. 415,000 has been allocated for the removal of palmyra logs and sand in Ariyalai area in Jaffna Lagoon respectively. 100% of work of such tasks has been finalized.

Bathymetric survey has been done by the Ceylon Fisheries Harbour Co- operation to remove sand bar at the Nayaru Lagoon- mouth and to deepen Nandikadal Lagoon incurring a sum of Rs. 0.87 million. A sum of Rs. 200000 has been directed to the District Secretary, Mullaitivu to clear debris collected under the Wadduwagal bridge over Nandikadal Lagoon in Mullaitivu district, of which 100% of the work of the project has been completed.

#### 21. Improvement to the access roads and infrastructure facilities.

Allocation amounting to Rs. 1 million have been directed to the District Secretary, Jaffna for the construction of abutment wall of the Thondaman Aru Lagoon, of which, 100% of work has been completed. Apart from that, another Rs. 1 million of provision has been sent to the District Secretary, Jaffna for renovating Gurunagar Jetty, of which, 100% of work has been completed.

## **2.2. Challenges**

1. Inability to provide stable internet facilities to the officers due to delays in payment.
2. Lagging behind the use of new technology by older officers.
3. Significant number of tab machines provided for the officers are defective.

## **2.3. Future Goals**

1. Introduction of an operating system to the staff of the Department.
2. Providing basic computer knowledge for the staff of the Department.
3. Introduction of an electronic identity card to 15000 fishermen.
4. Activation of e-log application for fishermen for 7000 trips.
5. Introduction of R Statistical Language for Data Analysis.
6. Introduction of electronic library system.
7. Introduction of Inventory Management System.

## Chapter 03. Overall Financial Performance for the Year ended 31<sup>st</sup> December 2020

### 3.1 Statement of Financial Performance

#### Statement of Financial Performance For the period ended 31<sup>st</sup> December 2020

Budget 2019		Note	Actual		
			2020	2019	
-	<b>Revenue Receipts</b>				
-	Income Tax	1	-	-	
31,880,000	Taxes on Domestic Goods & Services	2	43,562,801	58,348,336	ACA-1
-	Taxes on International Trade	3	-	-	
-	Non-Tax Revenue & Others	4			
31,880,000	<b>Total Revenue Receipts (A)</b>		<b>43,562,801</b>	<b>58,348,336</b>	
-	Non-Revenue Receipts		-	-	
-	Treasury Imprests		743,989,899	768,922,000	ACA-3
-	Deposits		41,355,220	53,014,606	ACA-4
-	Advance Accounts		22,264,578	30,781,674	ACA-5/5(A)
-	Other Receipts		25,858,571	29,287,324	
-	<b>Total Non-Revenue Receipts (B)</b>		<b>833,468,269</b>	<b>882,005,604</b>	
-	<b>Total Revenue Receipts &amp; Non-Revenue Receipts C = (A)+(B)</b>		<b>877,031,070</b>	<b>940,353,940</b>	
	<b>Less: Expenditure</b>				
-	Recurrent Expenditure		-	-	
378,000,000	Wages, Salaries & Other Employment Benefits	5	439,220,420	380,029,516	ACA-2(ii)
77,100,000	Other Goods & Services	6	96,206,556	81,288,413	
60,800,000	Subsidies, Grants and Transfers	7	209,906,037	101,344,368	
-	Interest Payments	8	-	-	
500,000	Other Recurrent Expenditure	9	-	7,951	
<b>516,400,000.00</b>	<b>Total Recurrent Expenditure (D)</b>		<b>745,333,012</b>	<b>562,670,249</b>	

	<b>Capital Expenditure</b>				
<b>17,000,000</b>	Rehabilitation & Improvement to Capital Assets	<b>10</b>	11,697,941	21,415,895	
<b>40,400,000</b>	Acquisition of Capital Assets	<b>11</b>	17,152,913	119,412,909	
<b>4,000,000</b>	Capital Transfers	<b>12</b>	24,215,000	1,567,445	
-	Acquisition of Financial Assets	<b>13</b>	-	-	
<b>2,000,000</b>	Capacity Building	<b>14</b>	966,535	1,491,554	
<b>75,000,000</b>	Other Capital Expenditure	<b>15</b>	18,466,230	43,975,530	
<b>138,400,000.00</b>	<b>Total Capital Expenditure (E)</b>		<b>72,498,619</b>	<b>187,863,333</b>	
	<b>Main Ledger Expenditure (F)</b>		<b>51,046,667</b>	<b>113,151,390</b>	
	<b>Deposit Payments</b>		35,244,629	78,049,203	ACA - 4
	<b>Advance Payments</b>		15,802,039	35,102,186	AC A- 5/5( A(
	<b>Total Expenditure G = (D+E+F)</b>		868,878,298	863,684,971	
-	<b>Imprest Balance as at 31<sup>st</sup> December 2019 H = (C-G)</b>		<b>8,152,771</b>	<b>76,668,968</b>	

**3.3 Statement of Financial Position**Statement of Financial PositionAs at 31<sup>st</sup> December 2020

	Note	Actual	
		2020 Rs.	2019 Rs.
<b>Non-Financial Assets</b>			
Property, Plant & Equipment	ACA -6	778,299,720	759,723,389
<b>Financial Assets</b>			
Advance Accounts	ACA -5/5(A)	83,375,840	89,838,380
Cash & Cash Equivalents	ACA -3	-	2,278,945
<b>Total Assets</b>		<b>861,675,560</b>	<b>851,840,714</b>
<b>Net Assets / Equity</b>			
Net Worth to Treasury		29,021,354	41,594,485
Property, Plant & Equipment Reserve		778,299,720	759,723,389
Rent and Work Advance Reserve	ACA -5(B)	-	-
<b>Current Liabilities</b>			
Deposits Accounts	ACA -4	54,354,486	48,243,895
Imprest Balance	ACA-3	-	2,278,945
<b>Total Liabilities</b>		<b>861,675,560</b>	<b>851,840,714</b>

Detail Accounting Statements in ACA format Nos. 1 to 6 presented on pages from ..... to..... and Notes to accounts presented on pages from ..... to ..... form integral parts of these Financial Statements. The Financial Statements have been prepared in compliance with the generally accepted Accounting Principles whereas most appropriate Accounting Policies are used as disclosed in the Notes to the Financial Statements and hereby certify that figures in these Financial Statements, Notes to accounts and other relevant accounts were reconciled with the Treasury Books of Accounts and found to in agreement.

.....	.....	.....
Chief Accounting Officer	Accounting Officer	Chief Financial Officer/ Chief Accountant/ Director (Finance)/ Commissioner (Finance)
Name:	Name:	Name:
Designation:	Designation:	Name:
Date:	Date:	Date:

**3.4. Cash Flow Statement****Cash Flow Statement  
For the period ended 31<sup>st</sup> December 2020**

	Actual	
	2020 Rs.	2019 Rs.
<b><u>Cash Flows from Operating Activities</u></b>		
Total Tax Receipts	43,562,801	58,348,336
Fees, Fines, Penalties and Licenses		
Profit		
Non Revenue Receipts	25,870,970	
Revenue Collected for the Other Heads	13,806,899	8,010,005
Imprest Received	730,183,000	768,922,000
<b>Total Cash generated from Operations (a)</b>	<b>813,423,670</b>	<b>835,280,341</b>
<b><u>Less - Cash disbursed for:</u></b>		
Personal Emoluments & Operating Payments	528,024,503	559,670,249
Subsidies & Transfer Payments	209,512,800	
Expenditure on Other Heads	8,337,904	63,409,168
Imprest Settlement to Treasury	2,278,945	
<b>Total Cash disbursed for Operations (b)</b>	<b>748,154,152</b>	<b>623,079,417</b>
<b>NET CASH FLOW FROM OPERATING ACTIVITIES(C)=(a)-( b)</b>	<b>65,269,518</b>	<b>212,200,924</b>
<b><u>Cash Flows from Investing Activities</u></b>		
Interest		
Dividends		
Divestiture Proceeds & Sale of Physical Assets		
Recoveries from On Lending		
Recoveries from Advance	16,985,553	25,090,488
<b>Total Cash generated from Investment Activities (d)</b>	<b>16,985,553</b>	<b>25,090,488</b>
<b><u>Less - Cash disbursed for:</u></b>		
Purchase or Construction of Physical Assets & Acquisition of other Investment	65,825,103	159,769,159
Advance Payments	10,319,377	29,956,343

<b>Total Cash disbursed for Investing Activities (e)</b>	<b>76,144,480</b>	<b>189,725,502</b>
<b>NET CASH FLOW FROM INVESTING ACTIVITIES (F)=(d)-(e)</b>	<b>(59,158,926)</b>	<b>(164,635,014)</b>
<b>NET CASH FLOWS FROM OPERATING &amp; INVESTMENT ACTIVITIES (g)=(c) + (f)</b>	<b>6,110,592</b>	<b>47,565,910</b>
<b><u>Cash Flows from Financing Activities</u></b>		
Local Borrowings		
Foreign Borrowings		
Grants Received		
Deposit Received	38,529,938	25,682,110
<b>Total Cash generated from Financing Activities (h)</b>	<b>38,529,938</b>	<b>25,682,110</b>
<b><u>Less - Cash disbursed for:</u></b>		
Repayment of Local Borrowings		
Repayment of Foreign Borrowings		
Deposit Payments	32,419,346	70,969,075
<b>Total Cash disbursed for Financing Activities (i)</b>	<b>32,419,346</b>	<b>70,969,075</b>
<b>NET CASH FLOW FROM FINANCING ACTIVITIES (J)=(h)-(i)</b>	<b>6,110,592</b>	<b>(45,286,965)</b>
<b>Net Movement in Cash (k) = (g) -(j)</b>		<b>2,278,945</b>
<b>Opening Cash Balance as at 01<sup>st</sup> January</b>		
<b>Closing Cash Balance as at 31st December</b>		<b>2,278,945</b>

### **3.5 Notes to the Financial Statements**

#### Reporting Basis

##### 1).Reporting period

The reporting period for these Financial Statements is from 01<sup>st</sup> January to 31<sup>st</sup> December 2020.

##### 2).Basis of Measurement

The Financial Statements have been prepared on historical cost modified by the revaluation of certain assets and accounted on a modified cash basis, unless otherwise specified.

The figures of the Financial Statements are presented in Sri Lankan rupees rounded to the nearest rupee.

3).Recognition of Revenue

Exchange and non-exchange revenues are recognized on the cash receipts during the accounting period irrespective of taxable period.

4).Recognition and Measurement of Property, Plant and Equipment (PP&E)

An item of Property, Plant and Equipment is recognized when it is probable that future economic benefit associated with the assets will flow to the entity and the cost of the assets can be reliably measured.

5).Property, Plant and Equipment Reserve

This revaluation reserve account is the corresponding account of PP&E.

6).Cash and Cash Equivalents

Cash & cash equivalents include local currency notes and coins on hand as at 31<sup>st</sup> December 2020.

**3.6 Performance of the Revenue Collection**

Revenue Cord	Description of the Revenue Cord	Revenue Estimate		Revenue Collected	
		Original Estimate (Rs.)	Final Estimate (Rs.)	Amount (Rs.)	As a % of Final Revenue Estimate
10.03.07.06	License Fee collected by the Department of Fisheries and Aquatic Resources	48,000,000	48,000,000	43,562,801	90.1

**3.7 Performance of Utilization of Allocation**

Type of Allocation	Allocation		Actual Expenditure	Utilization of allocation as a % of Final Allocation
	Original Allocation	Final Allocation		
Recurrent	756.4	778.93	745.33	<b>96</b>
Capital	98	98	72.50	<b>94</b>
	<b>854.4</b>	<b>876.93</b>	<b>817.83</b>	<b>93.3</b>



**3.8 In terms of F.R.208 grant of allocations for expenditure to this Department/District Secretariat/Provincial Council as an agent of the other Ministries/Departments**

Serial No.	Allocation received from Which Ministry / Department	Purpose the Allocation	Allocation		Actual Expenditure	Utilization of allocation as a % of Final Allocation
			Original Allocation	Final Allocation		
405-2-14-64-2506	Ministry of Fisheries	Demarcation of lagoon boundaries	5,000,000	5,000,000	992,000	19.84
405-2-14-64-2509	Ministry of Fisheries	Increasing the fish stock in the lagoons.	11,500,000	11,500,000	4,210,817	<b>36.62</b>

**3.9 Performance of the Reporting of Non-Financial Assets**

Asset Code	Code Description	Balance as per Board of Survey Report as at 31.12.2020	Balance as per the Financial Position Report as at 31.12.2020	Yet to be accounted	Reporting progress as%
9151	Buildings & Structures	326,394,276	326,394,276		
9151	Dwellings	53,250,000	53,250,000		
9152	Vehicles	94,550,933	94,550,933		
9152	Machineries	183,164,066	183,164,066		
9153	Land	102,520,000	102,520,000		
9154	Intangible Assets	18,420,445	18,420,445		
9155	Biological assets	-	-	-	-
9160	Work in progress	-	-	-	-
9180	Leasing assets	-	-	-	-

The value is not shown according to the inventory report. According to the fixed asset register, the balance is as above.

**3.10 Auditor General' Report**

The final Audit Report has not been received yet

## **04. Performance Indicators**

### **4.1 Performance Indicators of the Institute (Based on Action Plan)**

Specific Indicators	Actual output as a Percentage (%) of the expected		
	100% - 90%	75% - 89%	50% - 74%
1. Granting approval for fish processing establishments and testing laboratories	√		
2. Issue of health certificates pertaining to EU countries	√		
3. Issue of catch certificates	√		
4. Issue of health certificates pertaining Non-EU countries			√
5. Inspection of testing Laboratories.			√
6. Inspection of fish processing establishments.			√
7. Inspection of packaging centers		√	
8. Inspection of lobster holding centers.			√
9. Inspection of fishery harbors & landing sites			√
10. Inspection of fishing vessels (local & foreign)			√
11. Samples for laboratory tests from fish processing establishments (water, ice, products)			√
12. Sample tests from fishery harbors (Water, Ice)			√
13. Sample tests from prawn farms (Antibiotics, pesticide residues, malachite green)	√		
14. Random organoleptic checks of exportable fish			√
15. Inspection of shrimp farms.			√
16. Spot inspection of import shipments (BIA)			√
17. Installation, maintenance and removal of VMS devices on multi-day fishing vessels involving in High Seas fishing operations.	√		
18. Training of officers in the VMS Division and its related divisions.	√		
19. Obtaining reports under the following details at 7.00 am, 2:00 pm and 10:00 pm.	√		
20. Obtaining reports on harbor arrivals and maps of each boat.	√		
21. Obtaining reports on fishing vessels accessing 48km buffer zone.	√		

Specific Indicators	Actual output as a Percentage (%) of the expected		
	100% - 90%	75% - 89%	50% - 74%
22. border crossings	√		
23. Obtaining reports on departure fishing vessels.	√		
24. Obtaining BAPTO reports	√		
25. Obtaining power down reports of its interior battery of the fishing boats.	√		
26. Obtaining power up reports	√		
27. Obtaining reports on silent vessels during time horizon.	√		
28. Providing locations of all the updated vessels to the High Seas Unit.	√		
29. Tracking down distress fishing crafts and providing relevant details to the MCS unit.	√		
30. Obtaining and managing information of fishing vessels engaged in High Seas fishing operations.	√		
31. Verification of information of fishing vessels engaged in High Seas fishing operations.	√		
32. Inserting log reports into the database and management of them	√		
33. Investigating into the information and taking legal action	√		
34. Providing radio communication facilities between the fishing vessels and the land	√		
35. Forward recommended application to the relevant agencies to provide license and call signs for communication purposes in fishing vessels.	√		
36. Substantiation of information for insurance in accidents encountered by fishermen and fishing crafts	√		
37. Rescue of fishermen and fishing boats arrested in foreign countries and repatriation of them.	√		
38. Taking action to save the fishing boats adrift in international maritime boundaries.	√		
39. Providing communication facilities for small and one- day fishing crafts and making aware of the fisher community.	√		
40. Provision of daily weather reports and weather advisories on a daily basis.	√		
41. Make aware of the boats as per the information provided by VMS	√		

Specific Indicators	Actual output as a Percentage (%) of the expected		
	100% - 90%	75% - 89%	50% - 74%
42. Inform the relevant institutions about unauthorized foreign fishing vessels and fishermen.	√		
43. Rescue of fishermen and fishing boats that encountered with accidents.	√		
44. Submission of annual reports to international institutions such as Indian Ocean Tuna Commission (IOTC) Food and Agricultural Organization (FAO) European Commission (EC) and represent Sri Lanka at the annual meetings.	√		
45. Inspection of institutes engaged in exporting, keeping in possession and selling of lobsters	√		
46. Inspection of fish products and processing establishments			√
47. Random inspection of violation of the fisheries law			√
48. First time registration and renewal		√	
49. Issue of fishing operation licenses	√		
50. Issue of skipper licenses			√
51. Issue of identities for the Fishermen	√		
52. Issue of beach seine licenses			√
53. Issue of licenses for the export of ornamental fish			√
54. Issue of licenses for the import of ornamental fish	√		
55. Issue of licenses for the export of lobsters		√	
56. Issue of permits for keeping lobsters in possession.			√
57. Issue of permits for the export of Chanks.	√		
58. Issue of permits for transport and keeping Chanks in possession	√		
59. Issue of permits for the export of beach- de- mer	√		
60. Issue of permits for transport and keeping beach- de- mer in possession	√		
61. Issue of permits to export marine organisms cultured on artificial substrates		√	
62. Issue of permits for the transport, keep in possession and exhibition of dead sea shells.	√		
63. Registration of establishments of importing, exporting and re-exporting of fish and fishery products and issue of import, export and re-export license for fish and fishery products	√		

Specific Indicators	Actual output as a Percentage (%) of the expected		
	100% - 90%	75% - 89%	50% - 74%
64. Accidental Insurance	√		
65. The programme for facilitating to produce high quality dried fish	√		
66. Diyawara Piyasa Housing and Sanitary Programme	√		
67. Establishment of Rural Fisheries Women's Organizations	√		
68. Joint awareness programmes for fisheries sector			√
69. Facilitating to minimize post-harvest losses of fish	√		
70. Programme for networking the functions of the Department.	√		
71. Establishing artificial substrates in the coastal sea of Trincomalee	√		
72. Lagoon Development			
• Increasing the lagoon fish stock	√		
• Sea bass culture	√		
• Demarcation of lagoon boundaries	√		
• Cleaning and deepening of lagoons	√		
73. Inspection of fish products and processing establishments and bait suppliers 'firms.			√
74. Taking steps against possession, sale and transportation of lobsters during the offseason.		√	
75. Inspection of firms keeping in possession , selling and transportation of lobsters	√		
76. Inspection of firms building fishing boats, manufacturing fishing gear and net sale outlets. .	√		
77. Random check on the violation of fisheries law (Fishing boats, landing sites, anchorages)			√
78. Special raids based on information	√		
79. Internal Investigation.		√	
80. Providing software training to the staff of the Department of Fisheries and Aquatic Resources		√	
81. Training in providing basic knowledge on information technology for the staff.	√		

Specific Indicators	Actual output as a Percentage (%) of the expected		
	100% - 90%	75% - 89%	50% - 74%
82. Introduction of an electronic identity card to the fishermen	√		
83. Implementing an e-log application for fishermen	√		
84. Introduction of “R” statistical language for data analysis	√		
85. Introduction of an e-electronic library system		√	
86. Inventory Management System			√

## **Chapter 05. Performance of the achieving Sustainable Development Goals (SDG)**

### **05.1 Indicate the relevant sustainable development goals.**

Goal/ Objective	Targets	Indicators of the achievement	Progress of the Achievement to date		
			0%-49%	50% - 74%	75% - 100%
Enforcing Fisheries and Aquatic Resources Act and engaging the fisher community within the said legal framework correctly so as to direct the local fishing boats to take non-IIU fish to the local and foreign market.		Enabling the local fishermen to export fishery products to the foreign market at a high price as a result of getting the European fish ban lifted		✓	
Development and regulation of fisheries sector by means of efficient and environmental friendly technological methods thereby increasing fish production and upgrading socio-economic condition of fisher folks	The programme for facilitating to produce high quality dry fish				√
	Establishment of Rural Fisheries Women's Organizations				√
	Lagoon Development				√
	Facilitating to minimize fish post-harvest losses.				√

### **5.2 Briefly explain the achievements and challenges of the Sustainable Development Goals.**

In order to achieve the sustainable development goals, various insurance schemes (New Emergency Insurance, Divi Sayura Insurance) were implemented for fishermen with the objective of ensuring the social and economic security of fishing families. The Diyawara Diriya loan scheme was also implemented. Under the introduction of new technology, preparation of fish aggregation units using disposable multi day fishing vessel, implementation of quality dried fish production facilities, implementation of Diyawara Piyasa housing and sanitation programs are carried out by Development Division.

As another special project, the lagoon development program was implemented to conserve the lagoons while sustainably managing the lagoon ecosystems as well as to uplift the living standards of the associated community. Also, various infrastructure development programs have been implemented to strengthen the fishing community. Under this, the access roads to the landing sites have been repaired and constructed, providing beacon lamps for the landing sites, sun screening, and construction of community halls and net making centers have been successfully carry out.

Various activities of the development of fisheries and aquatic resources were networked with the objective of facilitating the clients in a more efficient and transparent manner. The automated online application system is being developed aiming at converting more than 15 activities of the Department into fully fledged digital process, registration of boats and collecting scientific data... etc. and for many activities.

#### ➤ **Achievements**

- To restore the fish market by way of bringing back the fish distribution chain that was disrupted in the face of COVID 19 in the country.
- Enabled to maintain the fisheries industry and export fish to the foreign market.
- Although the fish consumption came to stand still due to COVID pandemic, it was able to reestablish the fish consumption by encouraging the relevant sections and educating the consumers.

#### ➤ **Challenges**

- Dropped the revenue level as a result of collapsing the fish market in the face of COVID 19 pandemic.
- Finding it difficult to restore industries associated with the fisheries industry.



## Chapter 06-Human Resources Profile

### 6.1 Cadre Management

	Approved Cadre	Existing Cadre	Vacancies / (Excess)**
<b>Senior</b>	51	35	-
<b>Territory</b>	28	11	-
<b>Secondary</b>	756	588	-
<b>Primary</b>	106	92	-

### 06.2 \*\* Briefly State How the Shortage or excess in human resources has been affected to the performance of the institute.

Duties in the vacant posts Gr. I of the Senior Level are performed by the officers Gr.II in Senior Level.

Duties in the vacant posts Gr.III of the Senior Level are performed by the officers in Secondary Level

Duties in vacant posts of Secondary Level are performed by the retired secondary level officers who were recruited on contract basis and the retired army personnel.

### 06.3 Human Resources Development

#### Internal Training Programmes

Name of the programme	No. of employees trained	No. of programmes & Duration of the programme	Participants	Total investment (RS)	Nature of the programme (local/foreign)	Output/knowledge obtained
Awareness programmes for the relevant parties about the Fisheries law in order to combat illegal activities committed by using fishing boats.	371	15 days 10 programmes	Naval officers joining the course to be held for the post Second Lieutenant /Coast Guards officers/Naval officers/Officers of Police Marine Division/Officers of the Ceylon Fisheries Harbour Corporation	49,107.00	Local	Provided the knowledge about the relevant scope for the officers
Basic computer training and tab machine training programmes	247	15 days 13 programmes	Fisheries Officers, Fisheries Inspectors	144,510.00	Local	Provided the knowledge about the relevant scope for the officers

Name of the programme	No. of employees trained	No. of programmes & Duration of the programme	Participants	Total investment (RS)	Nature of the programme (local/foreign)	Output/knowledge obtained
Officers' capacity development programmes	60	02 days 01 programme	Fisheries Officers, Development Officers	296,490.00	Local	Provided the knowledge about the relevant scope for the officers
Training programmes on collection of scientific data about fish species	20	03 days 01 programme	Fisheries Inspectors Fisheries Officers collecting scientific data	47,248.00	Local	Provided the knowledge about the relevant scope for the officers
Training programmes for the Information Technology officers recruited to the Department of Fisheries and Aquatic Resources in 2020	22	4 days 01 programme	Information Technology officers	42,7564.60	Local	Provided the knowledge about the relevant scope for the officers
<b>Total number of training and awareness programmes implemented in the year 2020</b>						<b>29</b>
<b>Number of participants in the training and awareness programmes</b>						<b>555</b>
<b>Total expenditure on training and awareness programmes (Rs.)</b>						<b>544,919.60</b>

**Training Programmes conducted at outside institutions**

Name of the course	Name of the institute	No. of employees trained	Duration of the programme(date)	Nature of the programed (local/foreign)	Entire investment (Rs)	Output/knowledge obtained
Institutional performance through training and development	Skill Development Fund	02	01	Local	Free of charge	Gained the knowledge about the relevant subject
Certificate course in disciplinary procedure of the public sector	Distance learning centre	07	11	Local	Rs.283,500.00	Gained the knowledge about the relevant subject
Three day workshop on preparation of project proposals	Sri Lanka Foundation Institute	09	02	Local	Rs.67,500.00	Gained the knowledge about the relevant subject
Workshop on procurement procedure	Miloda Academy of Financial Studies	01	01	Local	Rs.7,750.00	Gained the knowledge about the relevant subject
Training of Computer Network System Administrators for the Lanka Government Network Project.	Information and Communication Technology Agency of Sri Lanka	01	01 day	Local	Free of charge	Gained the knowledge about the relevant subject
	<b>No.of programmes conducted at outside institutions</b>					<b>05</b>
	<b>No. of officers directed to the outside institutions for training</b>					<b>20</b>
	<b>Total expenditure on training programmes conducted at outside institutions</b>					<b>358,750.00</b>

### 07. Compliance Report

No	Applicable Requirement	Compliance Status (Complied / Not Complied)	Brief explanation for non-Compliance	Corrective action proposed to avoid non – compliance in future
<b>1</b>	<b>The Following Financial Statements/ accounts have been submitted on due date</b>			
<b>1.1</b>	Annual financial statements	√		
<b>1.2</b>	Advance to public officers' account	√		
<b>1.3</b>	Trading and Manufacturing Advance Accounts (Commercial Advance Accounts)	X	This department is the only service provider Department.	
<b>1.4</b>	Store Advance Accounts	X		
<b>1.5</b>	Special Advance Accounts	√		
<b>1.6</b>	Others	√		
<b>2.</b>	<b>Maintenance of books and registers (FR.445)</b>			
<b>2.1</b>	Fixed assets register has been maintained and update in term of Public Administration Circular 267/2018	√		
<b>2.2</b>	Personal emolument register/ personal emolument cards have been maintained and update	√		
<b>2.3</b>	Register of Audit Queries has been maintained and update	√		
<b>2.4</b>	Register of Internal Audit reports has been maintained and update	√		
<b>2.5</b>	All the monthly account summaries (CIGAS) ARE Prepared and submitted to the Treasury on due date	√		
<b>2.6</b>	Register for cheques and money orders has been maintained and update	√		
<b>2.7</b>	Inventory register has been maintained and update	√		
<b>2.8</b>	Stock Register has been maintained and update	√		
<b>2.9</b>	Register of losses has been maintained and update	√		
<b>2.10</b>	Commitment Register has been maintained and Update	√		

No	Applicable Requirement	Compliance Status (Complied / Not Complied)	Brief explanation for non-Compliance	Corrective action proposed to avoid non – compliance in future
2.11	Register of Counterfoil books (GA – N 20) has been maintained and update	√		
03	<b>Delegation of function for financial control (FR 135)</b>			
3.1	The financial authority has been delegated within the institute	√		
3.2	The delegation of financial authority has been communicated within the institute	√		
3.3	The authority has been delegated in such manner so as to pass each transaction through two or more officers	√		
3.4	The controls have been adhered to by the Accountants in terms of state Account Circular 171/2004 dated 11.05.2014 in using the Government Payroll Software Package	√		
4	<b>Preparation of Annual Plans</b>			
4.1	The Annual action plan has been prepared	√		
4.2	The annual Procurement plan has been Prepared	√		
4.3	The annual Internal Audit plan has been Prepared	√		
4.4	The annual estimate has been prepared and submitted to the NBD on due date	√		
4.5	The annual cash flow has been prepared and submitted to the treasury Operations Department on time	√		
5	<b>Audit Queries</b>	√		
5.1	All the audit queries have been replied within the specified time by the Auditor General	√		
6	<b>Internal Audit</b>			
6.1	The Internal audit plan has been prepared at the beginning of the year after consulting the Auditor General in term of Financial Regulation 134 (2) DMA/ 1 – 2019	√		

No	Applicable Requirement	Compliance Status (Complied / Not Complied)	Brief explanation for non-Compliance	Corrective action proposed to avoid non – compliance in future
6.2	All the internal audit reports have been replied within one month	X	delay in receiving some replies	Appointment of an officer from each division by assigning responsibilities
6.3	Copies of all the internal audit report has been submitted to the Management Audit Department in terms of Sub-section 40(4) of the National Audit Act No. 19 of 2018	√		
6.4	All the copies of internal audit reports have been submitted to the Auditor General in terms of Financial Regulation 134(3)	√		
7	<b>Audit and Management Committee</b>			
7.1	Minimum 04 meeting of the Audit and Management Committee has been held during the year as per the DMA Circular I-2019	X	Three meetings had to be held due to COVID-19 situation in the country.	
8	<b>Asset Management</b>			
8.1	The information about purchase of assets and disposals was submitted to the comptroller General's office in terms of paragraph 07 of the Asset Management Circular No. 01/ 2017	√		
8.2	A suitable liaison officer was appointed to coordinate the implementation of the provisions of the circular and the details of the nominated officer was sent to the comptroller general's office in terms of paragraph 13 of the aforesaid circular	√		
8.3	The boards of survey were conducted, and the relevant reports submitted to the Auditor General on due date in term of public finance circular No.05/ 2016	√		

No	Applicable Requirement	Compliance Status (Complied / Not Complied)	Brief explanation for non-Compliance	Corrective action proposed to avoid non – compliance in future
8.4	The excesses and deficits that were disclosed through the board of survey and other relating recommendations, actions were carried out during the period specified in the circular	√		
8.5	The disposal of condemn articles had been carried out in term of FR 772	√		
<b>9</b>	<b>Vehicle Management</b>			
9.1	The daily running charts and monthly summaries of the pool vehicles had been prepared and submitted to the Auditor General on due date	√		
9.2	The condemned vehicles had been disposed of within a period of less than 6 months after condemning	√		
9.3	The vehicle logbooks had been maintained and updated	√		
9.4	The action has been taken in terms of F.R. 103, 104,109 And 110 regarding every vehicle accident	√		
9.5	The fuel consumption of vehicle has been re- tested in terms of the provisions of Paragraph 3.1 of the Public Administration Circular No. 30/2016 of 29.12.2016	√		
9.6	The absolute ownership of the leased vehicle logbooks has been transferred after the lease term	√		
<b>10</b>	<b>Management of Bank Accounts</b>			
10.1	The bank reconciliation statements had been prepared, got cer	√		
10.2	The dormant accounts that had existed in the year under review or since previous year settled	√		
10.3	The action had been taken in term of Financial Regulations regarding balances that had been disclosed through bank reconciliation statements and for which adjustments had to be made, and those balances been settled within one month	√		
<b>11</b>	<b>Utilization of Provisions</b>			
11.1	The provision allocated had spent without exceeding the limit	√		

No	Applicable Requirement	Compliance Status (Complied / Not Complied)	Brief explanation for non-Compliance	Corrective action proposed to avoid non – compliance in future
11.2	The liabilities not exceeding the provision that remained at the end of the year as per the FR 94 (1)	√		
	<b>12 Advance to public officers Account</b>			
12.1	The limits had been complied with	√		
12.2	A time analysis had been carried out on the loans in arrears	√		
12.3	The loan balances in arrears for over one year had been settled	√		
	<b>13 General deposit Account</b>			
13.1	The action had been taken as per F.R. 571 in relation to disposal of lapsed deposits	√		
13.2	The control register for general deposits had been updated and maintained	√		
	<b>14 Imprest Account</b>			
14.1	The balance in the cash book at the end of the year under review remitted to TOD	√		
14.2	The ad – hoc sub imprests issued as per F.R. 371 settled within one month from the completion of the task	√		
14.3	The ad – hoc sub imprests issued exceeding the limit approved as per F.R. 371	√		
14.4	The balance of the imprest account had been reconciled with the Treasury books monthly	√		
	<b>15 Revenue Account</b>			
15.1	The refunds from the revenue had been made in terms of the regulations	√		
15.2	The revenue collection had been directly credited to the revenue account without credited to the deposit account	√		
15.3	Returns of arrears of revenue forward to the Auditor General in term of FR 176	√		
	<b>16 Human resources Management</b>			
16.1	The staff had been paid within the approved Cadre	√		
16.2	All members of the staff have been issued a duty list in writing	√		
16.3	All reports have been submitted to MSD in term of their circular no 04/2017 dated 20.09.2017	√		



No	Applicable Requirement	Compliance Status (Complied / Not Complied)	Brief explanation for non-Compliance	Corrective action proposed to avoid non – compliance in future
<b>17</b>	Provision of information to the public			
<b>17.1</b>	An information officer has been appointed and a proper register of information is maintained and updated in terms of Right to Information Act and Regulations	√		
<b>17.2</b>	Information about the institution to the public have been alternative measures and has it been facilitated to appreciate/allegation to public authority by this website or alternative measures	√		
<b>17.3</b>	Bi-Annual and Annual reports have been submitted ad per section 08 and 10 of the RIT Act	√		
<b>18</b>	<b>Implementing Citizens Charter</b>			
<b>18.1</b>	A citizens charter/ Citizens client’s charter has been formulated and implemented by the institution in term of the circular number 05/2008 and 05/2018 (1) of ministry of public Administration and Management	X		Being prepared for the year 2021
<b>18.2</b>	A methodology has been devised by the institution in order to monitor and assess the formulation and the implementation of citizens charter/ citizens client’s charter as per paragraph 2.3 of the circular	X		An officer will be nominatd after preparatin for the year 2020
<b>19</b>	<b>Preparation of the Human Resource plan</b>			
<b>19.1</b>	A human resources plan has been prepared in terms of the format in Annexure 02 of public administration circular no. 02/2018 dated 24.01.2018	X		Being prepared for the year 2021
<b>19.2</b>	A minimum training opportunity of not less than 12 hours per year for each member of the staff has been ensured in the aforesaid human resource plan	√		

No	Applicable Requirement	Compliance Status (Complied / Not Complied)	Brief explanation for non-Compliance	Corrective action proposed to avoid non – compliance in future
19.3	Annual performance agreements have been signed for the entire staff based on the format in Annexure 01 of the aforesaid circular	X		Action is being taken to prepare for the year 2021
19.4	A Senior officer was appointed and assigned the responsibility of preparing the human resource development plan, organizing capacity building programs as per paragraph no. 6.5 of the aforesaid circular	X		An officer will be appointed by formulating a plan in 2021
20	<b>Reponses Audit paras</b>			
20.1	The shortcomings pointed out in the audit paragraphs issued by the Auditor General for the previous years have rectified	√		